

Design methodology to determine the water quality monitoring strategy of a surface water treatment plant in the Netherlands

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Abstract

Primary goal of a drinking water company is to produce safe drinking water fulfilling the quality standards defined by national and international guidelines. To ensure the produced drinking water meets the quality standards, sampling of the drinking water is carried out on a regular (almost daily) basis. It is the dilemma that the operator wishes to have a high probability of detecting a bias while minimizing his measuring effort. In this paper a seven step design methodology is described which helps to determine a water quality monitoring scheme.. Besides using soft-sensors as surrogate sensors for parameters currently not available on-line, they can possibly provide a cost effective alternative when used to determine multiple parameters required through one single instrument.

Keywords

Data requirements; design methodology; model-based optimization; soft-sensors

INTRODUCTION

Primary goal of a drinking water company is to produce safe drinking water fulfilling the quality standards defined by national and international guidelines. To ensure the produced drinking water meets the quality standards, in the Netherlands, sampling of the drinking water is carried out on a regular (almost daily) basis.

Common practice in the Netherlands is that (drinking) water treatment plants (WTPs) are designed in such a robust way that the effluent quality can be guaranteed without direct control on the incoming water quality (Vanrolleghem and Lee, 2003;Bosklopper et al., 2004). A WTP consists of several individual treatment steps placed in series, with every treatment step being responsible for the removal (or addition) of certain compounds. All the interactions between the processes ask for an integrated plant-wide approach, optimizing the effluent quality and operational costs (Bosklopper et al., 2004;Nopens et al., 2010).

Van der Helm et al. (2008b) investigated three possible objectives for plant-wide optimization of operation of existing WTPs and concluded that the objective for integrated optimization should be the improvement of water quality and not a reduction in environmental impact and costs. The effects of these latter two are negligible compared to the environmental impact and costs for the society as a whole when more bottled water is used for drinking water as a result of insufficient (confidence in) tap water quality.

Direct control of water quality becomes more and more important as a result of more stringent criteria and the deterioration of source water (Vanrolleghem and Lee, 2003;van Schagen et al., 2010). Especially WTPs that use surface water as a source, experience increased pollution in the form of organic micropollutants and increased organic matter concentrations present in the surface water bodies (Verliefde et al., 2007;Bertelkamp et al., 2014). Besides, large fluctuations in water temperature and water quality can be noticed, which increases the need for direct control of the WTP.

Nowadays, many WTPs are monitored and controlled by SCADA (Supervisory Control and Data Acquisition) systems (Jansen et al., 1997). The functions of SCADA systems for WTPs include: (1) collection of on-line measurement data, (2) surveillance of the measuring chain including operations and (3) process control and other relevant operations (Gunatilaka and Dreher, 2003). On-line measurements are the first indicators that give the operators information about the state the plant is in. Besides on-line measurements, laboratory measurements are

1 taken at a regular interval to check the on-line measurements and that the produced drinking water meets the
2 quality standards set by national and international guidelines. However, besides the rapid tests performed at Site,
3 the time between sampling and laboratory results takes at least one day. This delay in results and interval between
4 measurements makes it difficult to only use the laboratory measurements for real-time control of a treatment plant
5 (van de Ven et al., 2010).

6
7 Retrieving reliable and robust on-line information is therefore important in order to be able to control a WTP. This
8 information can be retrieved from on-line sensors that measure a specific parameter directly, but also from generic
9 sensors that give indirect information. Roccaro et al. (2008), Rieger et al. (2004) and van den Broeke et al. (2008)
10 showed the ability of UV-Vis spectra measurements, measuring the absorbance of ultraviolet or visible light, to
11 estimate different parameters such as chlorine decay, nitrite and nitrate, ozone and assimilable organic carbon
12 (AOC) concentrations. These estimations were derived from algorithms developed, based on a change in UV-Vis
13 absorbance during a treatment step and laboratory measurements, using principal component analysis followed by
14 partial least squares regression. These types of generic sensors are so-called soft-sensors, sensors that require
15 software to give the required information. Juntunen et al. (2013) developed a soft-sensor to predict the turbidity in
16 treated water and to find the most significant variables affecting turbidity.

17
18
19 Optimized control can only be reached if there is a high probability of detecting a bias in the operation of the WTP.
20 At the same time, from an economical perspective, the data should be obtained with minimal measuring efforts
21 and costs. Understanding the requirements with respect to on-line monitoring and data reliability is a first step
22 towards direct control of the drinking water production based on the incoming water quality. Therefore, in this
23 paper a design methodology is described which helps to develop a water quality monitoring scheme. This will be
24 explained by means of a case study for the WTP Weesperkarspel in the Netherlands.

25 26 **MATERIALS AND METHODS**

27 28 **Design methodology**

29 Van Schagen et al. (2010) developed a methodology for the design of a control system for drinking water treatment
30 plants. This methodology was based on experiences with control design procedures for chemical plants and was
31 modified to fit the main objectives of a drinking water treatment plant. In the basis, the same methodology was
32 used for the design of an optimized water quality monitoring scheme. The methodology takes into consideration
33 1) the objectives, 2) operational constraints and 3) disturbances. These first three steps determine the required
34 water quality parameters. The subsequent steps help to determine the conditions the water quality information
35 should comply with:

- 36
- 37 1. Determine treatment step objectives;
- 38 2. Determine operational control options;
- 39 3. Determine water quality parameters taking into consideration both process and control aspects;
- 40 4. Identify process characteristics;
- 41 5. Evaluate available (indirect) measurements;
- 42 6. Determine individual monitoring strategy per treatment step.
- 43 7. Determine integrated monitoring strategy of treatment plant.
- 44

45 ***Treatment step objectives***

46 The treatment step objectives depend on the feed water quality and the type of treatment step considered. The
47 overall objective of a drinking water treatment plant is the production of safe drinking water fulfilling the quality
48 standards defined by national and international guidelines. The main objective of a treatment step for an existing
49 plant should be the focus on water quality and less on the chemical or energy consumption (van der Helm et al.,
50 2008b). Therefore it should be evaluated which parameters, present in the feed water quality, can be influenced

1 per treatment step. In order to do so process knowledge on the different treatment steps is indispensable (Poch et
2 al., 2004). Van Schagen (2009) indicated that mathematical models are a powerful tool to evaluate the sensitivity
3 to process objectives and disturbances and help find the appropriate controlled variables.
4

5 ***Operational control options***

6 Depending on the design of the treatment step certain operational control options are available to make changes to
7 the treatment process. Examples of operational control options are the change in chemical dosage, flow division
8 and backwash and regeneration frequency. The primary focus is on the operational changes that can be performed
9 within the existing plant lay-out.
10

11 ***Required water quality parameters***

12 Based on the treatment step objectives and existing operational control options, the water quality parameters that
13 are influenced by the treatment step are determined. Ideally these water quality parameters should be monitored.
14 Besides the water quality parameters that are influenced by a treatment step, there are water quality parameters
15 that influence the efficiency of a treatment step. For example, the water temperature has an effect on the ozone
16 decay rate. The decay rate increases with increasing temperatures (Elovitz et al., 2000). This may result in a higher
17 required ozone dose in summer time, taking into consideration that the disinfection requirements are also different
18 with different temperatures.
19

20 ***Process characteristics***

21 The required monitoring frequency and sensitivity of the selected water quality parameters may also vary
22 depending on the process characteristics. The process characteristics describe the time interval during which
23 changes occur and the order of magnitude in which changes occur. For instance, the contact time in an ozone
24 reactor can vary from a couple of minutes to one hour, depending on the dimensions, while the time between two
25 regeneration cycles of activated carbon typically is expressed in years. These different reaction times require
26 different measurement frequencies. The order of magnitude relates to the required accuracy of the measurement.
27 For example, ozone typically degrades quickly in water due to the reaction with organic compounds in the water.
28 This determines that the required measurement frequency should be high.
29

30 ***Evaluate available measurements for the identified water quality parameters***

31 Based on the evaluation of the required water quality parameters and existing process characteristics the available
32 (on-line) measurements should be evaluated. A wide range of methodologies exist for determining water quality
33 parameters, from certified laboratory measurements to on-line measurements. Depending on the variability of the
34 process, the turnaround time of laboratory measurements is not always fast enough. To come to an optimal water
35 quality monitoring scheme also on-line water quality sensors should be considered. In this study the following
36 evaluation criteria for the available on-line sensors were assessed:

37 Easiness; is the sensor easy to use, is the measuring principle easy to understand;

38 Sensitivity; is the method sensitive enough;

39 Maintenance; does the sensor require much maintenance;

40 Costs for laboratory measurements as well as the purchasing and maintenance costs for on-line sensors were
41 indicated. Besides on-line sensors developed to measure one specific parameter, available surrogate sensors, used
42 to estimate a water quality parameter value, and soft-sensors were assessed.
43

44 ***Determine individual monitoring strategy per treatment step***

45 The individual monitoring strategy defines which water quality parameters per treatment step should be monitored,
46 with a selected frequency and location. The evaluation, of available measurements for the identified water quality
47 parameters forms the basis for the monitoring strategy, subsequently ranked by the most critical parameters in the
48 treatment plant. Criticality is determined by two factors, 1) parameters of which the measured concentrations are
49 close to the not to exceed limit and 2) parameters that can be potentially harmful to human health.
50

51 ***Determine integrated monitoring strategy of treatment plant***

The integrated monitoring strategy defines which water quality parameters are monitored, taking into consideration the interaction between the different individual treatment processes. The evaluation, of available measurements for the identified water quality parameters forms the basis for the monitoring strategy, again ranked by the most critical parameters in the treatment plant. The monitoring strategy can be embedded into the process control strategy to ensure optimized control based on the most critical parameters.

Case study: Ozonation and biological activated carbon filtration at Waternet

At the production location Weesperkarspel of Waternet, the water cycle company of Amsterdam and surroundings, ozonation, pellet softening, biological activated carbon (BAC) filtration and slow sand filtration are the main steps in the production of safe drinking water. The feed water is humics' rich seepage water from the Bethune polder, sometimes mixed with Amsterdam-Rhine canal water, which is pre-treated by coagulation, sedimentation, approximately 100 days retention in a lake reservoir followed by rapid sand filtration, before it is transported to the Weesperkarspel treatment plant. At Weesperkarspel, the production of drinking water is roughly divided into two parallel lanes (north and south lane), each consisting of several individual reactors/filters per treatment step. In each lane the water is mixed after each treatment step. The control actions can be modified at individual level, however, for the purpose of this paper it has been chosen to focus on the mixed influent and effluent of one lane only and not on the individual reactor/filter level. The treatment processes ozonation and BAC filtration have been evaluated. These processes are frequently applied at surface WTPs and are susceptible to changes in the feed water quality. Besides, these processes have several control options and an interaction between the two processes exists.

Previously, the following on-line measurements were installed to monitor the ozonation and BAC filtration process (Figure 1).

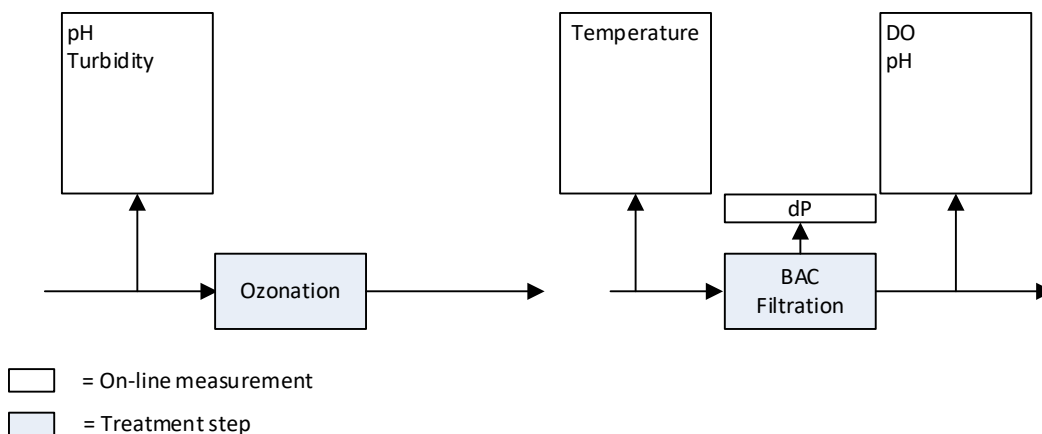


Figure 1 Previous installed on-line measurements ozonation and BAC filtration at Weesperkarspel treatment plant

pH and turbidity were monitored at the influent of the ozonation step. The temperature was monitored in the influent of the BAC filtration. After BAC filtration dissolved oxygen (DO) and pH were measured, and the pressure drop was recorded over each of the individual BAC filters.

RESULTS

The results of the evaluation of each step, to come to an optimised water quality monitoring scheme, are described below, followed by a discussion on the outcomes of the assessment versus the previous and current monitoring strategy. Research carried out at the pilot plant of Weesperkarspel was used to obtain full understanding of the processes taking place and enabling the determination of the objectives and required water quality parameters.

Treatment step objectives

1 In general the primary objective of ozonation is disinfection (von Gunten, 2003b). Besides, ozonation is frequently
2 used for the oxidation of organic micro pollutants, taste, odour and colour producing products and natural organic
3 matter (NOM), transforming higher molecular weight compounds into lower molecular weight compounds. For
4 the ozonation step at Weesperkarspel, the specific objectives are disinfection and oxidation of NOM (van der Helm,
5 2007).

6
7 The general objective of activated carbon is the removal of organic micropollutants, removal of precursors of
8 disinfection by-products and the removal of organic compounds causing colour, taste and odour issues (van der
9 Aa et al., 2011). When activated carbon is preceded by a pre-oxidation step, the biological activity in the water
10 and on the activated carbon is enhanced, resulting in BAC filtration. At the same time ozonation increases the
11 polarity, resulting in a decrease in adsorption affinity (Sontheimer et al., 1988). As a result of the pre-oxidation
12 step, NOM is removed through both biodegradation and adsorption. At Weesperkarspel the purpose of BAC
13 filtration is the removal of organic matter, to prevent biological growth in the distribution system and to remove
14 toxicity, taste and odour causing compounds (Graveland, 1996). Besides, the BAC filters remove the carry-over
15 from the preceding pellet softening step.

16 ***Operational control options***

17
18 The production flow is controlled by the demand for drinking water. The buffering capacity in the treatment plant
19 is the clean water storage reservoirs situated before the water is distributed to the customers (van Schagen et al.,
20 2010). To ensure sufficient reliability, the treatment plant is set up in a redundant way with multiple lanes operated
21 in parallel. It is possible to change the flow division over the different production lanes, however this is only done
22 when one of the lanes has less treatment capacity or is out of production due to e.g. maintenance. Due to the
23 buffering capacity of the clean water storage reservoirs, the treatment plant is already operated at a constant
24 optimized flow, therefore, in this case, production flow was not considered as a control action.

25
26 The only remaining control action for ozonation is the ozone dosage. The ozone dosage is obtained by a
27 combination of ozone in gas concentration and the gas flow. Both parameters can be adjusted to obtain the desired
28 ozone dosage.

29
30 For BAC filtration the control actions within the existing treatment setup are the backwash frequency, currently
31 operated at every couple of days till once a month interval per filter and backwash program, currently a
32 combination of air and water is used. The activated carbon is regenerated every year to three years. Carbon dioxide
33 is dosed before the BAC filters to correct for any high pH resulting from the caustic soda dosage in the pellet
34 softeners. Dosing of carbon dioxide is actively controlled based on the measured pH which is related to the
35 functioning of the pellet softeners and therefore not included in the overview provided in Figure 1. A high pH
36 could negatively affect the biodegradation efficiency (Seredyńska-Sobecka et al., 2006) and promotes precipitation
37 of calcium carbonate on the activated carbon grains. Oxygen and caustic soda can be dosed in the effluent of the
38 BAC filters to correct low pH and oxygen concentrations as a result of the biological activity in the filters.

39 ***Required water quality parameters***

40
41 As indicated previously, ozone is an unstable oxidant in water. Ozone decomposition in water consists of a fast
42 initial phase (seconds range) and second phase (minutes range) during which ozone concentration decreases via
43 first order kinetics and disinfection of the more resistant pathogenic microorganisms takes place (von Gunten,
44 2003a; van der Helm et al., 2008a). A commonly used method to determine the disinfection capacity of ozonation
45 is by calculating the exposure of pathogens to ozone, expressed as the Ct value, a product of the (residual)
46 concentration of the disinfectant (C), in this case ozone and contact time (t) (WHO, 2008).

47
48 Water quality parameters that influence the efficiency of the ozonation step are temperature, pH and, for
49 Weesperkarspel relevant, scavengers such as NOM concentration and character (von Gunten, 2003a). A
50 measurement commonly used to indicate the NOM concentration is the dissolved organic carbon (DOC)
51 concentration. The DOC concentration is determined by filtering the sample over a 0.45 µm filter and measuring

1 the total organic carbon (TOC) concentration. In order to assess the character of NOM, the specific UV absorbance
2 (SUVA) can be calculated by dividing the UV absorbance measured at a wavelength of 254 nm (UV254) by the
3 DOC concentration (van der Helm et al., 2008b;Edzwald and Tobiason, 1999). Another method is to use
4 fluorescence excitation emission matrices to characterize the NOM (Baghoth et al., 2011;Sgroi et al., 2018). These
5 water quality parameters play a role in the ozone dosage required to achieve the desired disinfection and should
6 therefore be monitored. For Weesperkarspel it was determined that disinfection of Giardia, Cryptosporidium and
7 Campylobacter is sufficient to determine the microbiological safety of the water (van der Helm et al., 2008b). To
8 be able to monitor the efficiency of the ozonation step, at least one of the following parameters should be measured:
9

- Pathogenic mirco-organisms such as Cryptosporidium, Giardia and Campylobacter.
- Ozone concentration at different contact times, to be able to determine the Ct value (van der Helm et al., 2009);

11
12 During ozonation disinfection by-products are formed. The oxidation of NOM promotes the presence of AOC
13 concentration in water (van der Kooij et al., 1989). AOC promotes regrowth of bacteria in a distribution system,
14 amongst others, and, therefore, should be sufficiently removed in the subsequent treatment steps. Water without
15 residual chlorine is considered to be biologically stable if the AOC concentration is below 10 µg Acetate-C/L,
16 whereas water with residual chlorine is defined as biologically stable for AOC concentrations below 50 µg Acetate-
17 C/L (van der Kooij, 1992;Escobar et al., 2001). Besides AOC, bromate is formed if bromide is present in the feed
18 water. Bromate is probably carcinogenic to humans (USEPA, 2018).

19
20 During BAC filtration, biodegradation takes place by microorganisms, present on the external surface and in the
21 macro-pores of the BAC filter grains, that biodegrade the NOM in the water (Servais et al., 1994). The activity of
22 the microorganisms (biomass) determines the degradation rate of NOM (Lazarova and Manem, 1995). The activity
23 and concentration of the biomass depends on the concentration of nutrients (carbon, phosphate and nitrogen), the
24 dissolved oxygen concentration, temperature, pH and residual disinfectant in the feed water (Simpson, 2008). Uhl
25 and Gimbel (2000) described that for the biological removal of ammonia, the deposit of bacterial cells from the
26 influent was necessary to maintain a solid biofilm. However for Weesperkarspel it was shown that the feed in
27 bacterial cells to the BAC filters was not necessary to obtain a sufficient biodegradation efficiency (Ross et al.,
28 2019), hence no on-line measurement of bacterial cells is required. Besides biodegradation taking place, adsorption
29 of NOM and toxic, colour, taste and odour compounds takes place. In addition, at Weesperkarspel, BAC filtration
30 is simultaneously applied for the removal of suspended solids and carry-over. Due to clogging of the filter bed by
31 suspended solids, carry-over and in some cases biomass, the filters need to be backwashed frequently. The pressure
32 drop over the filters and turbidity in the effluent indicates the state the filter is in, and whether it needs to be
33 backwashed. In case of Weesperkarspel the pressure drop is the determining parameter.

34 ***Process characteristics***

35
36 Ozone is dosed to the water, after which reaction takes place in the seconds to minutes range. A change in ozone
37 dose or change in feed water quality can have an immediate effect on the effluent quality. In the past, the dosing
38 strategy was determined by the water temperature, with two different set points, below 12 °C and above 12 °C.
39 Van der Helm et al. (2009) suggested that this negatively influenced the disinfection during ozonation. However,
40 more detailed research by Wiersema (2018) could not confirm this. Since ozonation is one of the main processes
41 that can achieve disinfection, high frequency monitoring is required enabling direct control of the ozonation step.

42
43 In contrast to ozonation, BAC filtration is not a dosing process, but a separation/degradation process by means of
44 filtration, adsorption and biodegradation. The different processes all have their associated time intervals. The
45 shortest time interval is the clogging of the filters, which, depending on the location in the treatment train,
46 backwashing needs to be carried out every couple of days till once a month. Backwashing occurs based on pressure
47 drop over the filter or after a maximum period of time. The pressure drop should be monitored on a regular basis.

48
49 As indicated in the required water quality parameters section, the activity of the biomass present on the carbon
50 grains determines the biodegradation efficiency. Ross et al. (2019) showed that a change in feed water quality does
51 not necessarily result in a change in effluent quality, hence there is no direct need for close monitoring of the filters.

1 In case the feed water quality changes for a longer period of time, the biomass will adopt itself to the new situation,
2 which can take up to 2-3 months (Servais et al., 1994).

3
4 Depending on the NOM loading, the activated carbon starts showing break-through of organic micro pollutants
5 and pesticides after a run time of 6-9 months if no biodegradation takes place, while if biodegradation takes place
6 this can last up to 2-5 years before the activated carbon needs to be regenerated (Simpson, 2008). Although BAC
7 filters have proven their ability to intercept sudden changes in water quality, the DO can be used as an indicator
8 for the biological activity in the filter and identifying any disruptions taking place (van Schagen, 2009).

9
10 ***Evaluate available measurements for the identified water quality parameters***

11 A summary of the required water quality parameters, as determined in the paragraphs describing the water quality
12 parameters, can be found in the first columns of Table 1 (ozonation) and Table 2 (BAC filtration). In the second
13 column it is indicated per parameter if an on-line measurement, able to measure at the limit of detection required,
14 is available. Depending on the monitoring frequency required, as described in the process characteristics
15 paragraphs, it was determined if a parameter should be available on-line. If the monitoring frequency should be
16 daily or more, it was indicated with a yes in the third column. To gain a better understanding of the applicability
17 of the on-line sensors, the ease of use, sensitivity and maintenance requirements were evaluated in columns four
18 through six. The costs related to a measurement in lab and installation of an on-line sensor are listed in column
19 seven.

20
21 Evaluation of availability on-line sensors and their characteristics was based on literature research, indicated by
22 the references included per parameter. Besides on-line sensors that measure one specific parameter, available
23 related surrogate parameters (column eight) and soft-sensors (column nine) were also captured. It should be noted
24 that for some surrogate parameters and soft-sensors a start concentration is required first before the concentration
25 of the requested parameter can be estimated.

Table 1 Summary water quality parameters required to monitor ozonation and associated available on-line sensors

Parameter	On-line available	On-line required	Easy	Sensitive enough	Maintenance	Costs lab/online	Surrogate parameters	Soft-sensor available
pH	Yes (Banna et al., 2014)	Yes	Yes	Yes	Moderate, needs regular calibration	lab/online: low	No	Yes through water quality (WQ) modeling after dosages of a base or acid based on measured influent pH (van Schagen et al., 2009)
Temperature	Yes (Banna et al., 2014)	Yes	Yes	Yes	Low	lab/online: low	No	No
DOC	Yes via TOC measurement (Hall et al., 2007)	Yes	Moderate	Yes	High, 0.45 µm filters and reagents are required to be replaced	lab: moderate online: high	UV ₂₅₄ or a UV ₂₈₀ , UV wavelength at 254 or 280 nm related to reactivity of the organic carbon with ozone (Westerhoff et al., 1999)	Yes, based on range of UV wavelengths (Langergraber et al., 2003)
UV ₂₅₄	Yes (Van den Broeke et al., 2014)	Yes	Yes	Yes	Yes	lab: low online: moderate	No.	n.r.
Pathogenic micro-organisms	No	Yes	n.a.	n.a.	n.a.	lab: high online: n.a.	Ct value related to inactivation of Giardia after measuring influent concentration (USEPA, 1989)	Yes, Ct value estimation by means of WQ modeling (van der Helm et al., 2009) or algorithm based UV/Vis-spectra measurements after measuring influent concentration (Ross et al., 2016)
AOC	No	Yes	n.a.	n.a.	n.a.	lab: high online: n.a.	Yes (Hammes and Egli, 2005)	Yes, through WQ modeling by van der Helm et al. (2009) or algorithm based on UV/Vis-spectra measurements (Ross et al., 2016)
Bromate	No	Yes	n.a.	n.a.	n.a.	lab: moderate online: n.a.	Yes, Ct value has linear relationship with bromate (van der Helm et al., 2008a)	Yes, through WQ modeling by van der Helm et al. (2009) or UV/Vis-spectra measurements (Ross et al., 2016)
Bromide	Yes (Van den Broeke et al., 2014)	No	n.a.	n.a.	n.a.	lab: moderate online: n.a.	n.r.	n.r.
Ozone concentration in water	Yes (Van den Broeke et al., 2014; van den Broeke et al., 2008)	Yes	Moderate	No	Moderate, regular cleaning required	lab/online: moderate	Yes, UV absorbance from 185-350 nm (Molina and Molina, 1986)	No

n.a.= not applicable, n.r. = not required.

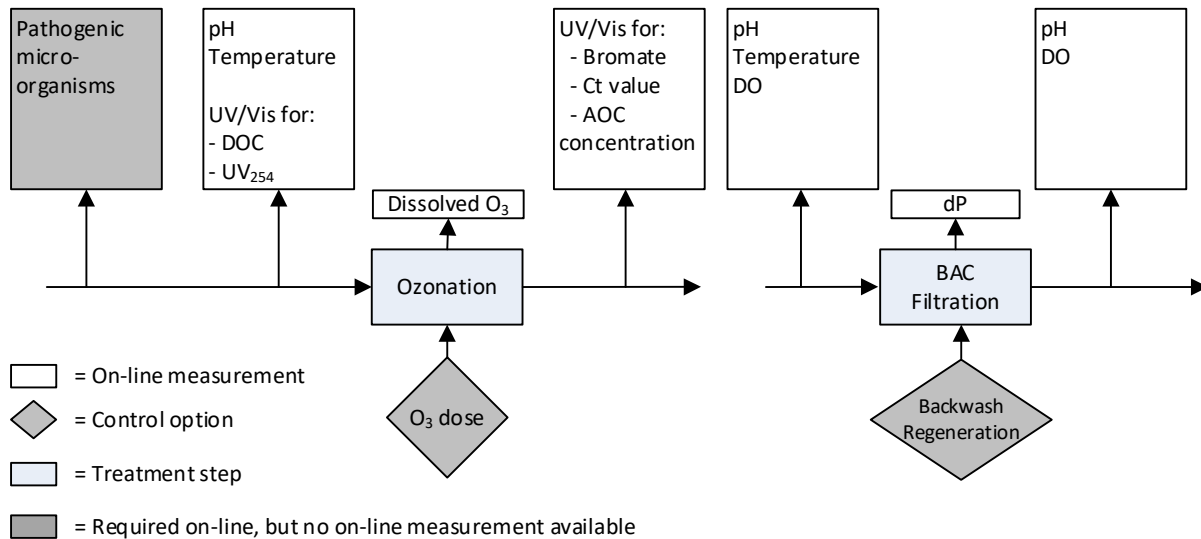
Table 2 Summary water quality parameters required to monitor BAC filtration and associated available on-line sensors

Parameter	On-line available	On-line required	Easy	Sensitive enough	Maintenance	Costs lab/online	Surrogate parameters	Soft-sensor available
DO	Yes (Banna et al., 2014)	Yes	Yes	Yes	Low	lab/online: low	No	No
Phosphate	Yes (Schlegel and Baumann, 1996)	No	Yes	No	Moderate, reagents are required to be replaced	lab: moderate online: moderate	n.r.	n.r.
Kjeldahl - N	No	No	n.a	n.a.	n.a	lab: moderate online: n.a.	n.r.	n.r.
DOC	Yes via TOC measurement (Hall et al. 2007)	No	Moderate	Yes	High, 0.45 µm filters and reagents are required to be replaced	lab: moderate online: high	n.r.	n.r.
AOC	No	No	n.a.	n.a.	n.a.	lab: high online: n.a.	n.r.	n.r.
Viable bacterial cells	Yes (Besmer et al., 2017)	No	Moderate	Yes	Moderate	lab: moderate online: high	n.r.	n.r.
pH	Yes (Banna et al., 2014)	Yes	Yes	Yes	Moderate, needs regular calibration	lab/online: low	No	Yes through water quality (WQ) modeling after dosages of a base or acid based on measured influent pH (van Schagen et al., 2009)
Temperature	Yes (Banna et al., 2014)	Yes	Yes	Yes	Low	lab/online: low	No	No
Pressure drop	Yes (van Schagen et al., 2008)	Yes	Yes	Yes	Low	lab: moderate online: low	n.r.	n.r.

n.a.= not applicable, n.r. = not required.

1 **Determination of individual monitoring strategy per treatment step**

2 Figure 2 shows the individual monitoring strategy per treatment step determined by the water quality assessment
 3 captured in Table 1 for ozonation and Table 2 for BAC filtration. The results are described in detail below.
 4



5 **Figure 2 Required on-line water quality information for optimized monitoring and control of ozonation and BAC**
 6 **filtration**

7
 8
 9 **pH, temperature, and DO**

10 There are sufficient on-line sensors available to measure the pH, temperature, and DO. These sensors are relatively
 11 easy to use and sensitive enough. The pH sensor requires frequent maintenance. The costs of measurement, either
 12 on-line or in laboratory are low. The efficiency of ozone is, amongst others, determined by the pH and temperature
 13 and should therefore be monitored continuously. The DO and pH are a continuously controlled effluent parameter
 14 in BAC filtration. The pressure drop indicates if a filter needs to be backwashed. The DO and pH are an indicator
 15 for the biological activity in the filter and capable of identifying any disruptions taking place (van Schagen, 2009).
 16

17 **DOC and UV₂₅₄**

18 The NOM concentration, measured through DOC, is a scavenger and does directly interfere with disinfection,
 19 requiring to be monitored in the influent of the ozone step. The used ozone dosages hardly affect the DOC
 20 concentration, limiting the need for monitoring downstream of the ozone step (van der Helm et al., 2008a). For
 21 TOC there is an on-line sensor available which measures sensitive enough. By inclusion of a 0.45 µm filtration
 22 step the DOC is determined. It does require frequent maintenance for replacing the 0.45 µm filters and reagents.
 23 The on-line sensors are still expensive whilst the lab measurements are low-cost and around 20 euros per sample.
 24 Alternatively, an UV absorbance sensor measuring the UV absorbance at wavelength of 254 or 280 nm can be
 25 used as a generic sensor providing insights in the reactivity of ozone with the organic matter (Westerhoff et al.,
 26 1999). Besides direct measurement or a generic sensor, Langergraber et al. (2003) developed a soft-sensor allowing
 27 to estimate the DOC concentration based on measured UV/VIS wavelengths and by applying principal component
 28 analysis followed by partial least squares regression. These soft-sensors do require to be calibrated locally based
 29 on an obtained dataset from lab measurements. The UV/Vis sensor is, besides regular cleaning, easy to maintain,
 30 and less than half the price of a specific TOC sensor. Besides DOC, UV₂₅₄ also determines the efficiency of ozone
 31 and should therefore be monitored continuously. A specific on-line sensor is available which only measures
 32 UV₂₅₄, is easy to use, sensitive and low in maintenance and costs. An alternative generic sensor is the UV/Vis
 33 sensor which measures all wavelengths between 200-735 nm. This should only be used instead if the sensor is
 34 used to measure other parameters, such as DOC, as well.
 35

36 **AOC, bromate and bromide**

1 AOC and bromate are disinfection by-products formed during ozonation. Depending on the influent concentrations
2 of DOC and bromide and the amount of ozone dosed, the AOC and bromate concentration are determined. There
3 is no on-line sensor available for measuring the AOC concentration in accordance with the approved standard
4 methods (Eaton et al., 2005). AOC is one of the disinfection by-products that needs to be monitored. A change in
5 organic matter composition and/or ozone dose will directly result in a change in AOC concentration, therefore
6 requiring on-line monitoring in the effluent of the ozone step. AOC is subsequently biodegraded in BAC filtration
7 step and enhances the microbiological activity in the filters. Ross et al. (2019) showed that a sudden change in
8 AOC concentration does not result in a direct deterioration of the effluent quality of the BAC filters. Therefore, a
9 continuous monitoring of the AOC concentration in the effluent of the BAC filter is not required. The lab
10 measurements are high in costs, due to the labour intensity of the analysis. Hammes and Egli (2005) developed a
11 quicker laboratory method to determine the AOC concentration using flow cytometry. Until now this method is
12 only available as off-line method and therefore not suitable for on-line monitoring. The water quality model
13 developed by van der Helm et al. (2009) is able to predict the formation of disinfection by-products such as AOC
14 by using Matlab/Simulink®. Another soft-sensor is the software algorithm published by Ross et al. (2016) that
15 uses different UV/Vis wavelengths to predict the AOC formation.

16
17 There are no on-line sensors available for measuring the bromate concentration. Bromate needs to be monitored
18 for compliance since it is possibly carcinogenic and is not removed in existing downstream treatment steps. A
19 change in bromide concentration or a change ozone dose can impact the bromate concentration directly. The
20 bromide levels in the influent of the Weesperkarspel treatment plant have been very stable, requiring no need for
21 continuous monitoring. Since the bromate levels can change with changing ozone dose, on-line monitoring of
22 bromate in the effluent of the ozone step is proposed. The lab measurements are moderate in costs, due to the
23 reagents required. Van der Helm et. al. (2008a) found a linear relationship between the bromate concentration and
24 Ct value, allowing the Ct value to be a surrogate parameter once the initial bromate concentration is known.
25 Cromphout et al. (2013), found a linear relationship between ozone dose, temperature and bromate formation.
26 These models can be used to predict the bromate concentration based on the ozone dosed, temperature, pH and
27 bromide concentration in the influent. Another available soft-sensor is the software algorithm published by Ross
28 et al. (2016) using different UV/Vis wavelengths to determine the Ct value and bromate formation. It should be
29 tested till what extent these algorithms can be locally calibrated for changing bromide concentrations.

30 31 **Pathogenic micro-organisms and ozone concentration in water**

32 There are no on-line sensors available to specifically measure a certain pathogenic microorganism. The lab
33 measurements are high in costs, due to labour intensity of the analysis. The pathogenic microorganism
34 concentration in the influent together with above parameters do determine the required ozone dosage and therefore
35 require continuous monitoring. The USEPA (1989) published Ct values for determining the log inactivation of
36 pathogenic microorganisms for different water temperatures. This allows the Ct value to be used as a surrogate
37 parameter if the influent concentration is known. The water quality model developed by van der Helm et al. (2009)
38 is able to predict the Ct value based on above measured parameters and applied ozone dose. In addition, Ross et
39 al. (2016) published a software algorithm that uses different UV/Vis wavelengths to determine the Ct value.
40 Verification via lab analysis of pathogenic microorganisms on a weekly/monthly basis, depending on the
41 variability of the source water quality, will help determine the log inactivation and associated Ct value to be
42 achieved. Besides using soft-sensors to determine the Ct value based on a change in UV/Vis pattern, the ozone in
43 water can be determined by on-line measurements. These measurements do require local calibration by means of
44 lab measurements. It is an easy and sensitive measurements that does require regular maintenance to prevent
45 biofouling. Cost of on-line and lab measurements are moderate due to the calibration fluid required. In order to be
46 able to determine the Ct value based on the ozone in water concentrations, multiple sampling points are required
47 in space.

48 49 **Phosphate and nitrogen**

50 Phosphate, nitrogen and carbon are the nutrients required for the microbiology in the BAC filters to grown on.
51 Phosphate is a frequently on-line measured and controlled parameter in wastewater environments. The available

on-line measurements are easy to use, sensitive enough, but do require regular maintenance due to reaction agents used. The costs of both lab and on-line application are moderate. To the authors knowledge there are no on-line nitrogen measurements available. The costs of lab measurements are moderate. In the current treatment plant setup there is no option to alter the phosphate or nitrogen concentration (by means of dosing) and as a result there is no need to continuously monitor these concentrations in the influent of the BAC filters.

Viabale bacterial cells

Viabale bacterial cells are present in the surface water. During ozonation typically disinfection of viabale bacterial cells takes place, which subsequently can regrow in following treatment steps (Vital et al., 2012). The determination of viabale bacterial cells has developed in the last couple of years from a laborious intensive measurement using microscopy, to rapid determination in the lab using flow cytometry to customizing the flow cytometry equipment for on-line applications (Besmer et al., 2014; Besmer et al., 2017). Ross et al. (2019) showed that the effect of viabale bacterial cells in the influent of the BAC filters is limited in respect to the performance of the BAC filters, therefore discarding the need for on-line monitoring. The costs of both lab and on-line measurements are still high but expected to reduce in future as per the innovation taking place to enhance rapid detection.

Pressure drop

The pressure drop is typically measured to determine the clogging ratio in the filter bed. Pressure drop measurements are available on-line and have been fully developed. It is an easy measurement, which is sensitive and low in maintenance. The costs are low. For BAC filtration it is, besides turbidity, the main indicator if a filter is clogging and needs backwashing. On-line monitoring is therefore required and frequently applied.

Determination of integrated monitoring strategy of treatment plant

When evaluating the ozonation and BAC filtration step as an integrated system, it is not required to monitor the AOC in the effluent of the ozonation due to the robustness of the BAC filtration step (Ross et al., 2019). The DO concentration in the influent of the BAC filter will always be sufficient as a result of the preceding ozonation step, therefore there is no need to continuously monitor this concentration in the influent. For Weesperkarspel, the temperature of the water and pH will not change due to application of ozonation, hence there is no need to monitor this in the influent of the BAC filters.

In Figure 3 the current monitoring strategy of Weesperkarspel is shown. This strategy was adjusted per the outcomes of the different research described in this paper (van der Helm, 2007; Ross et al., 2016; van Schagen, 2009).

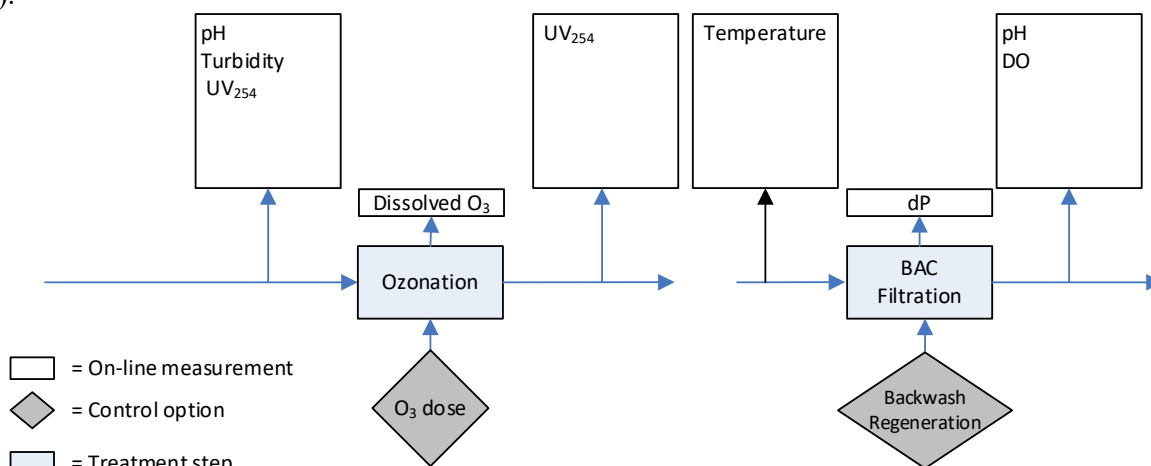


Figure 3 Current on-line water quality monitoring of ozonation and BAC filtration at Weesperkarspel treatment plant

1 When comparing the sensors installed in Figure 3 with Figure 2, considering the sensors that can be skipped based
2 on the integrated approach, only 4 differences are observed. In the influent of the ozone step only UV254 is
3 measured instead of UV254 and DOC and the turbidity is measured. In the effluent of the ozone step the i::scan™
4 is installed measuring at a wavelength of 254 nm instead of the s::can™ able to measure the full spectrum allowing
5 for estimation of bromate and Ct value. However, the Ct value can also be calculated by the installed ozone
6 measurements and the UV254 can give a good indication of the achieved Ct as well (Westerhoff et al., 1999). No
7 differences are observed for the BAC filtration step, when considering the integrated approach.
8

9 **DISCUSSION**

10 *Advances in on-line water quality monitoring*

11 Evaluation of available on-line sensors showed that there are sufficient on-line sensors available to measure the
12 pH, temperature and DO (Adu-Manu et al., 2017). Furthermore a lot of developments have taken place around
13 sensors and monitors (Van den Broeke et al., 2014). Direct measurements of the more complex parameters such
14 as AOC and bromate are not available on-line. When looking at required on-line information for integrated control
15 of ozonation and BAC filtration, bromate is to be monitored continuously. In this case the use of soft-sensors,
16 able to estimate the bromate and AOC formation, help to gain continuous on-line data. Besides using soft-sensors
17 as surrogate sensors for parameters currently not available on-line, they can also provide a cost effective alternative
18 when used to determine multiple parameters required through one single instrument. Examples in this case were
19 the use of UV-Vis sensors for the determination of UV254 concentration in the influent, the estimation of DOC in
20 influent and effluent, formation of bromate and AOC during ozonation and estimation of Ct value in the effluent
21 of the ozonation step through one measurement.
22

23 *Reliability of the data*

24 On-line identification of disturbances is only possible if the identified water quality data are accurate and
25 continuous (van Schagen et al., 2010). Furthermore the confidence the operators have in the data is crucial,
26 especially when soft-sensors are applied instead of direct measurement (Ikonen et al., 2017). If possible,
27 measurement via two different methods can be applied for a period of time, to gain confidence by the operators to
28 rely on soft-sensors to provide with the correct information. In this case the Ct value can be obtained via ozone in
29 water measurement multiplied by contact time or estimated via the change in UV-Vis measurement. It should be
30 recognized that the use of on-line sensors does require knowledge of the use of the sensors and (frequent)
31 maintenance to ensure the reliability of the data.
32

33 *On-line water quality monitoring strategy*

34 When comparing the previous on-line information (Figure 1) with the current on-line sensors placed at
35 Weesperkarspel (Figure 3) it can be seen that in the current situation more on-line information is available. The
36 expansion of the number of on-line sensors was driven by a better understanding of the processes taking place
37 based on the research performed and the desire to measure these processes. During the installation and test phase
38 continuous attention was required to identify any deviations or maintenance requirements at an early stage.
39 Currently the installed sensors act as an early warning system to flag any deviations in water quality and operation.
40 The next step would be the direct control based on water quality.
41
42

43 **CONCLUSIONS**

44 The main objective of this paper was to develop a design methodology supporting the development of a water
45 quality monitoring strategy.. A seven step approach was defined, and each step was demonstrated for the treatment
46 processes ozone and BAC filtration. It was shown how the previous on-line water quality monitoring program of
47 the treatment plant Weesperkarspel was adjusted based on a better understanding of the processes taking place.
48
49

1 Evaluation of available on-line sensors showed that the parameters temperature, pH and DO are commonly
2 available. Direct measurements of the more complex parameters such as AOC and bromate are not available on-
3 line. The use of soft-sensors, able to estimate the bromate and AOC formation, help to gain continuous on-line
4 data. Besides using soft-sensors as surrogate sensors for parameters currently not available on-line, they can also
5 provide a cost effective alternative when used to determine multiple parameters required through one single
6 instrument. Examples in this case were the use of UV-Vis sensors for the determination of UV254 concentration
7 in the influent, the estimation of DOC in influent and effluent, formation of bromate and AOC during ozonation
8 and estimation of Ct value in the effluent of the ozonation step. The on-line data obtained by the (soft-) sensors
9 provide the operator with continuous information whether the processes are operating within the required
10 operational window.

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